

THE GLOBAL FOREST BIOMASS ESTIMATION ALGORITHM FOR ESA'S BIOMASS MISSION

Maciej J. Soja¹, Francesco Banda², Paolo Mazzucchelli², Mauro M. d'Alessandro³, Shaun Quegan⁴, Nuno Miranda⁵, and Klaus Scipal⁵

¹Wageningen Environmental Research, Netherlands

²Aresys, Italy

³Synspective, Japan (formerly Politecnico di Milano, Italy)

⁴University of Sheffield, UK

⁵European Space Agency

ESA UNCLASSIFIED - For ESA Official Use Only

Outline:

1. P-band SAR for biomass estimation
2. The great breakthrough of ground cancellation
3. Modelling the biomass-backscatter relationship
4. Obtaining global coverage
5. Algorithm outline
6. Towards the launch of BIOMASS and beyond



Image credit: Thuy le Toan

2

P-band SAR: long waves (~ 70 cm) \rightarrow good canopy penetration:

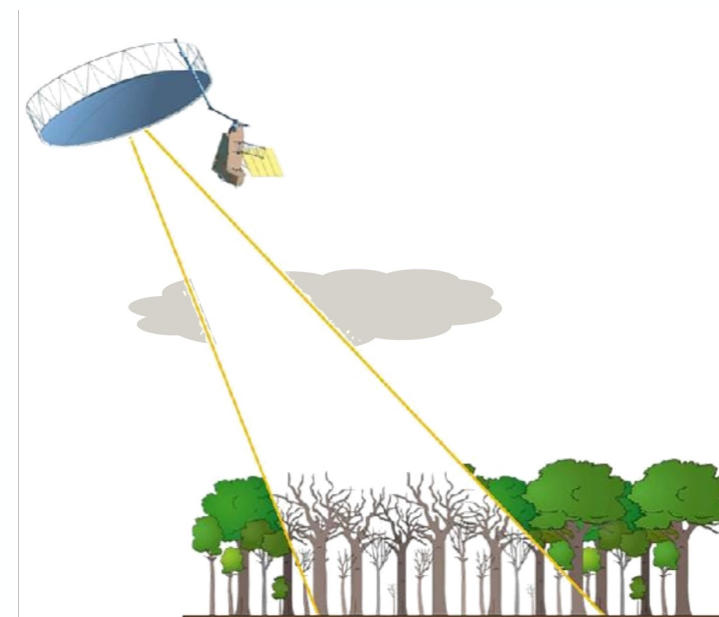
😊 Strong scattering from tree trunks and large branches

😊 Good temporal coherence

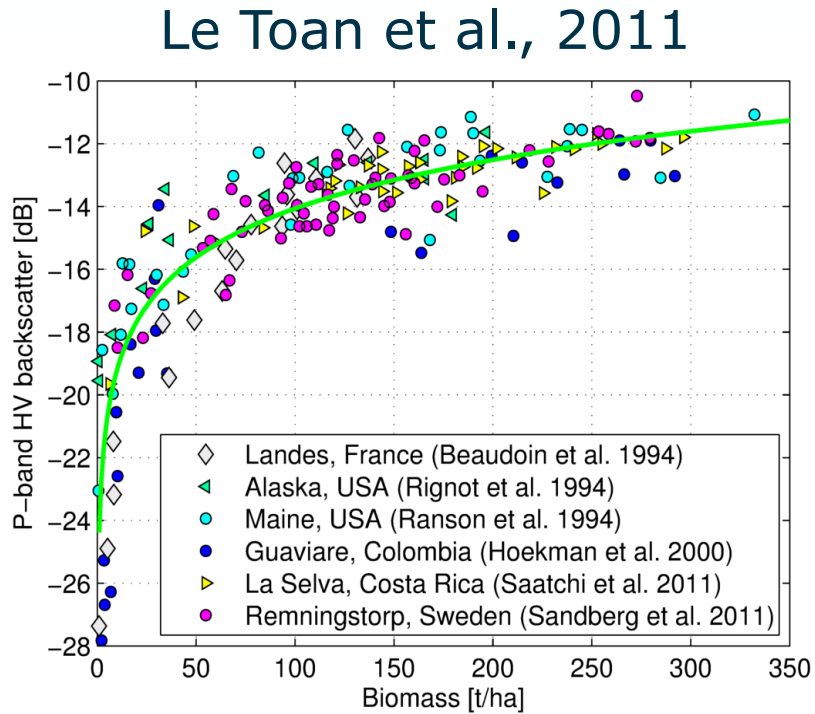
... but also ...

😞 Strong scattering from the ground

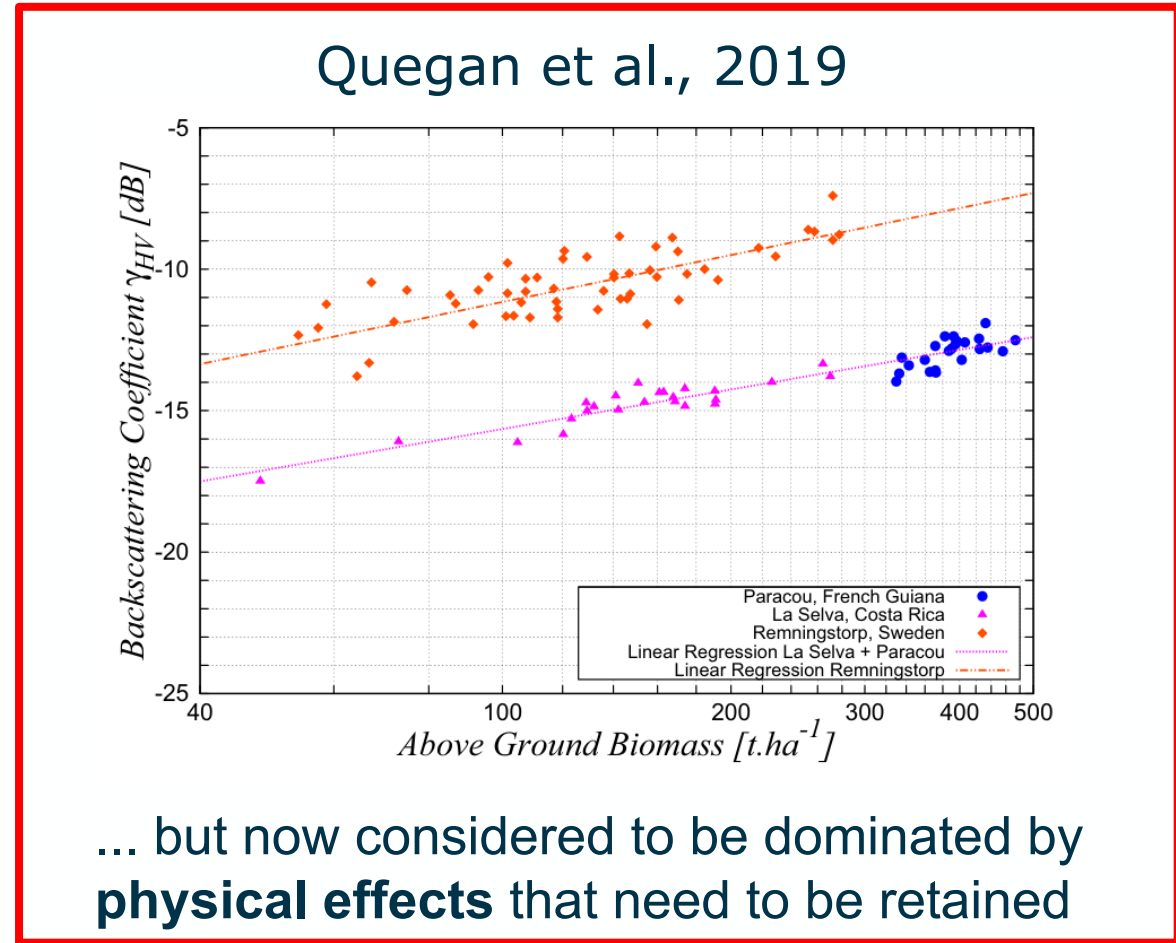
Good potential for biomass estimation, but with **some challenges...**



Challenge 1: variability across test sites/biomes...



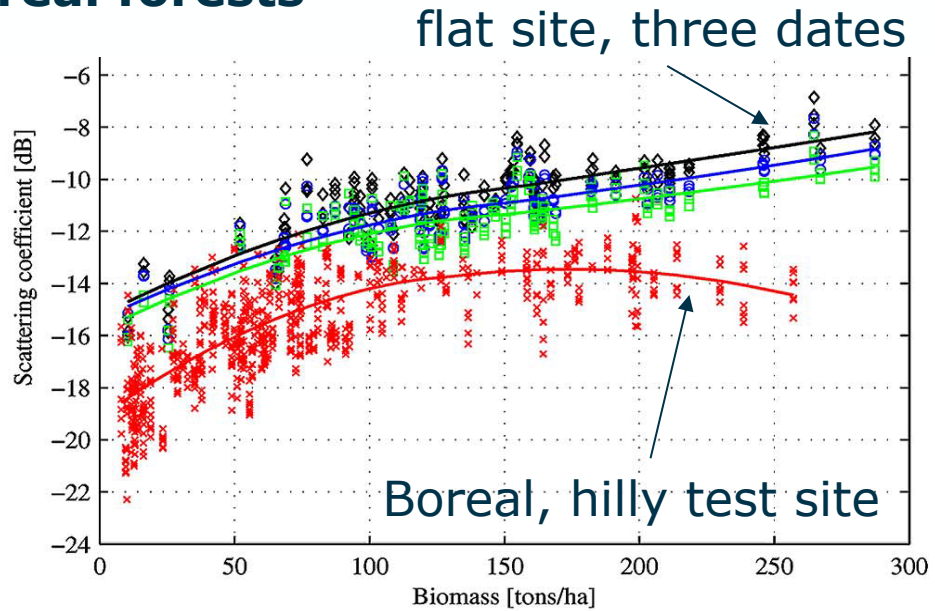
... Initially attributed to calibration & manually removed



... but now considered to be dominated by **physical effects** that need to be retained

Challenge 2: topography and moisture

Boreal forests

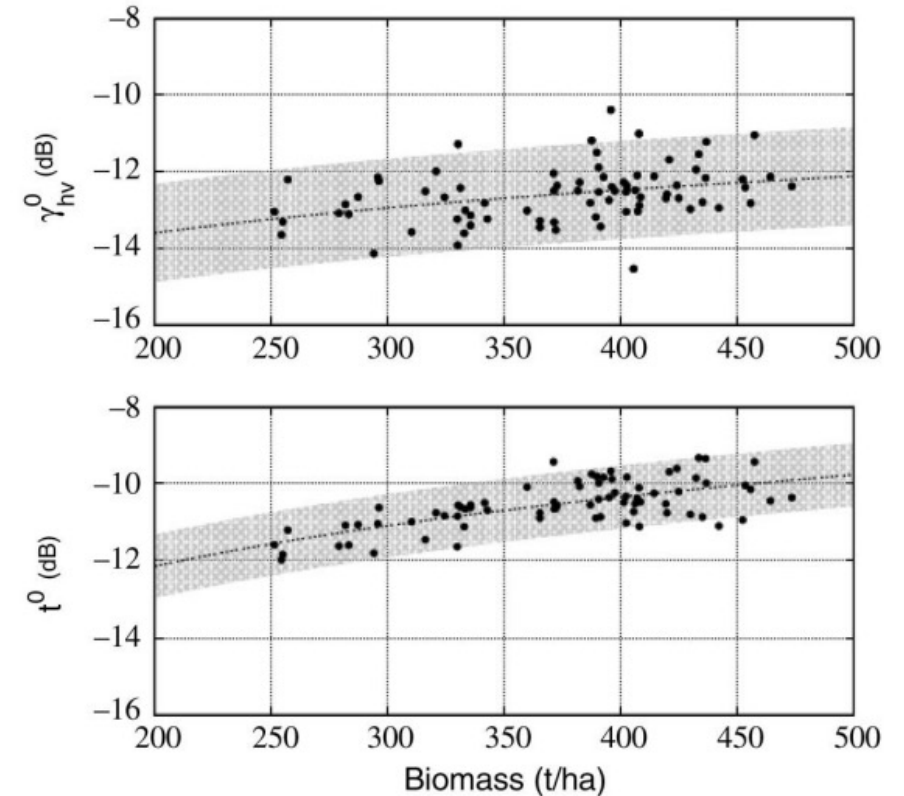


Soja et al., 2013

Topographic & moisture effects are still not well-understood or well-modelled

Topographic correction applied

Tropical forest, hilly terrain

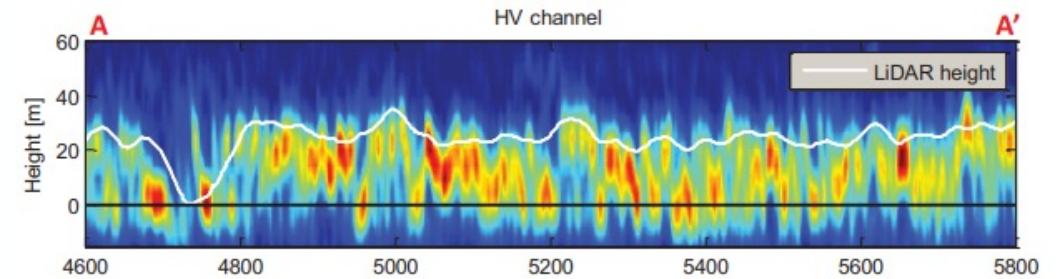


Villard & Le Toan, 2015

The great breakthrough of ground cancellation

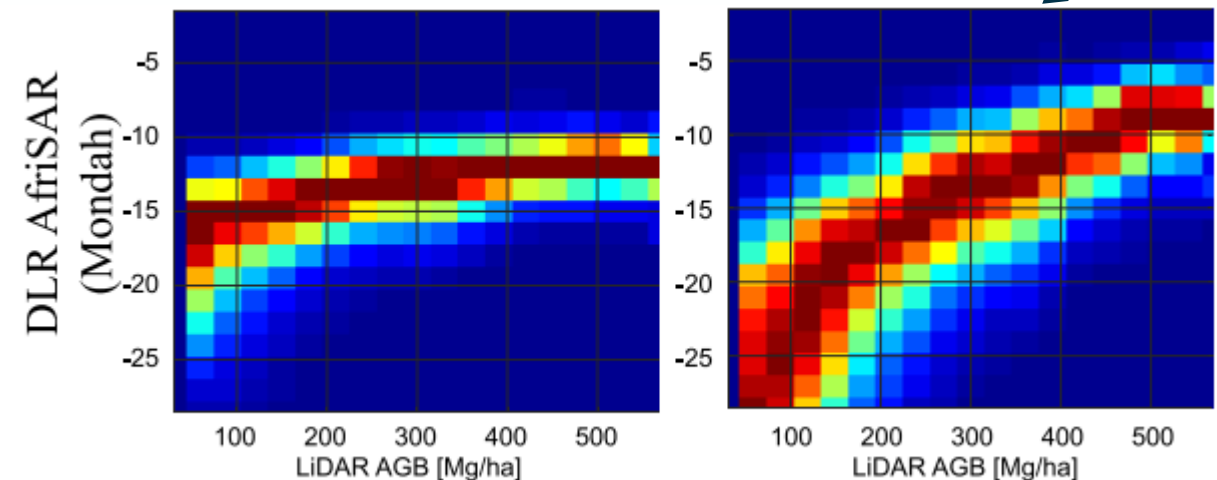
- Remove ground backscatter using TomoSAR or InSAR data!
- Possible methods that improve biomass estimation:
 - TomoSAR (e.g., backscatter @ 30m height); requires ~14 months of acquisition for BIOMASS
 - **Ground cancellation** suppresses ground-level backscatter & works with 3-pass InSAR data (~7 months of acquisition)

Tomographic profile



Minh et al., 2014

Ground cancellation applied



d'Alessandro et al., 2020

- Selected **power law model** for canopy backscatter

$$\sigma_{pi}^0 = L_{pi} W^{\alpha_{pk}} \cos^{n_p} \vartheta_i$$

canopy backscatter

biomass

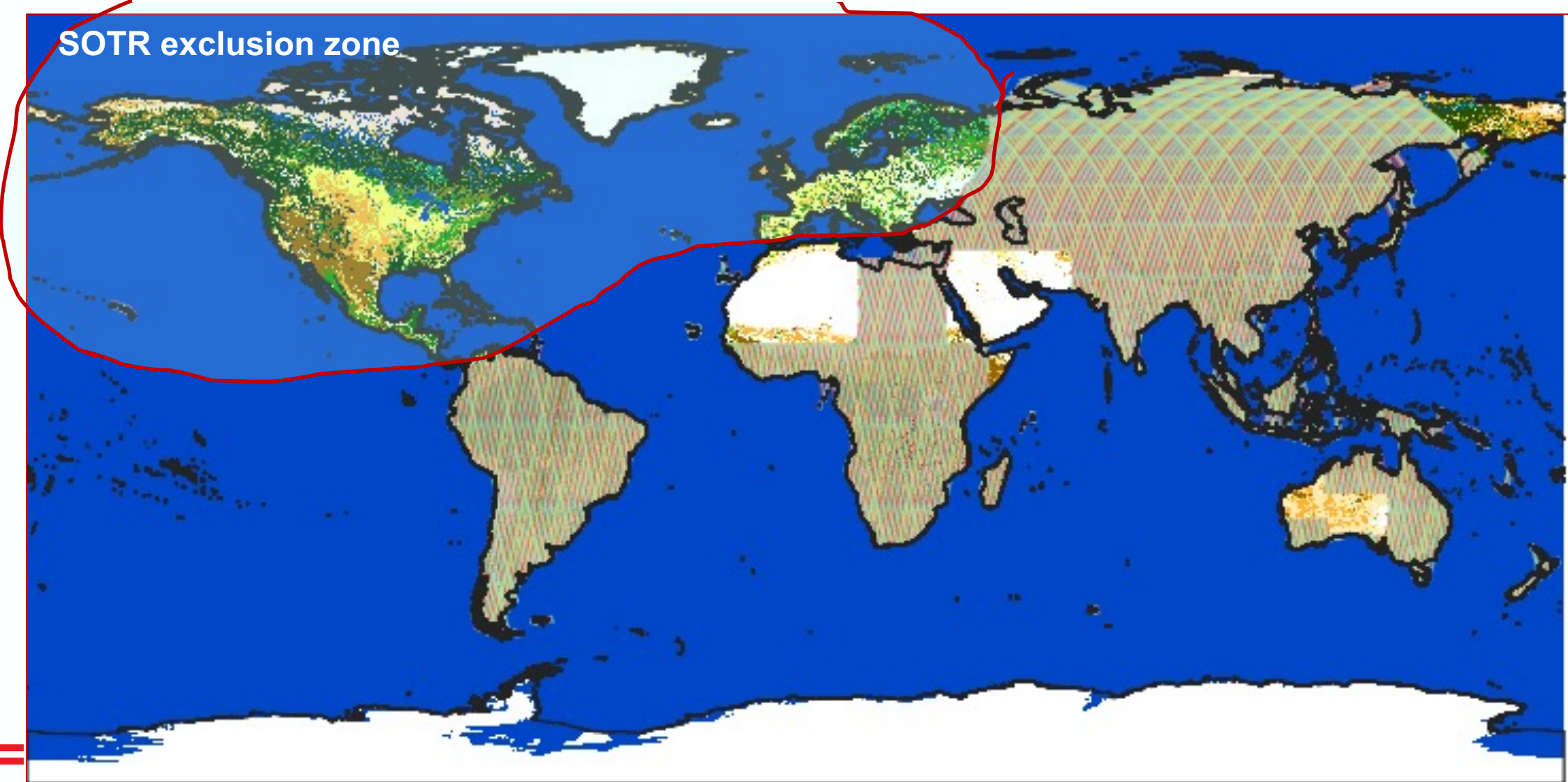
Local incidence angle

- All model parameters change with **polarisation** p ; additionally:
 - L_{pi} changes with **acquisition** i , accounting for moisture variability
 - α_{pk} changes with **land cover class** k , thus accounting for structural variability
- Good performance across six tropical forest sites, consistent with other models in literature (e.g., power law for σ^0, γ^0), easy to linearise & fit, easy error modelling

Quegan et al., 2019; Soja et al., 2021

7

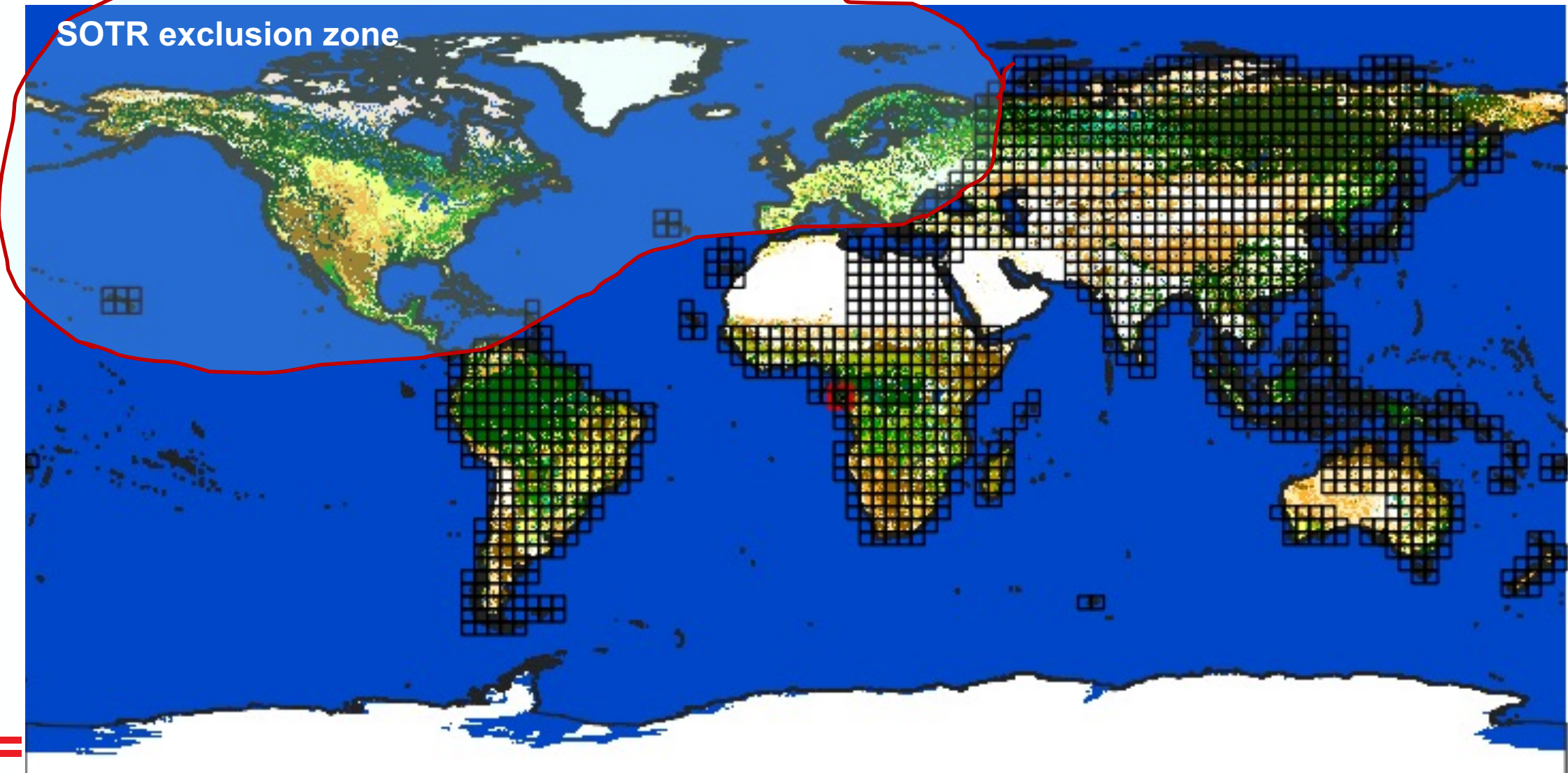
Simulated BIOMASS data coverage



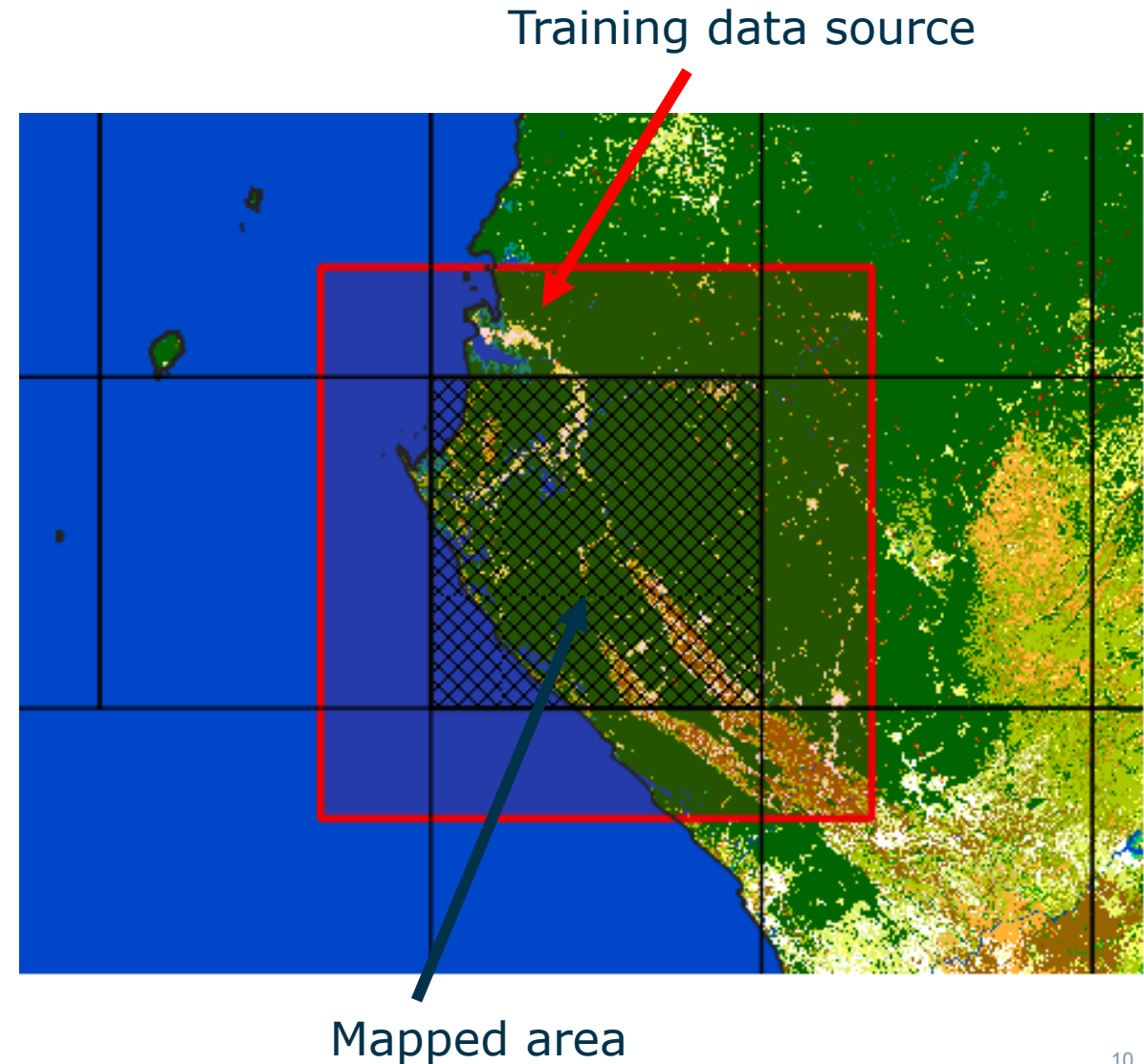
Obtaining global coverage



3°×3° processing blocks used as mapping units



- Mapping done using $3^{\circ} \times 3^{\circ}$ **processing blocks**
- Training data sampled within a $5^{\circ} \times 5^{\circ}$ **neighbourhood** to enforce some continuity across blocks
- Model parameters estimated with **linear regression** from reference biomass data.



Reference data are essential for biomass estimation & mapping!

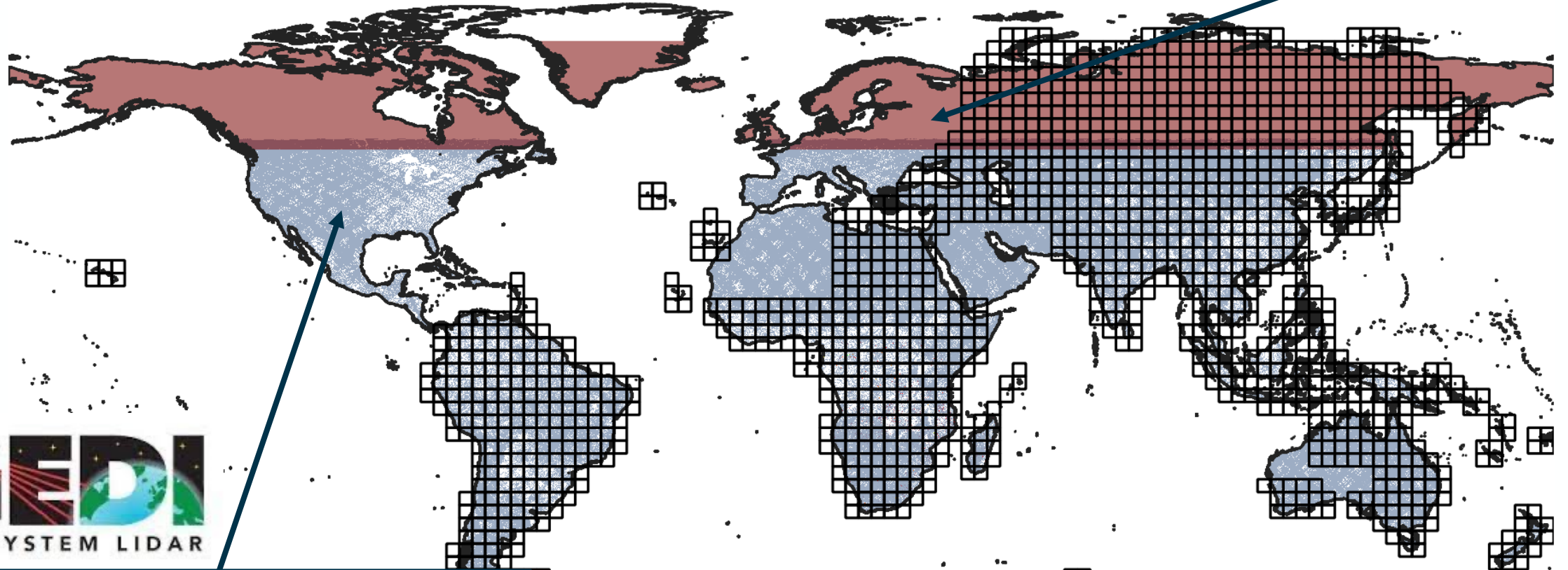
Requirements:

- **Global, spatially dense:** to account for the large expected spatiotemporal variability
- **Easily accessible and available now:** so that the global algorithm can be implemented and tested prior to launch
- **With good sensitivity to biomass** across the entire biomass range, and good potential for accurate estimates within the next few years.

Reference AGBD data sources

ICESat-2 boreal map

- 30 m resolution maps
- Between 50°N and 75°N



GEDI Level 4A

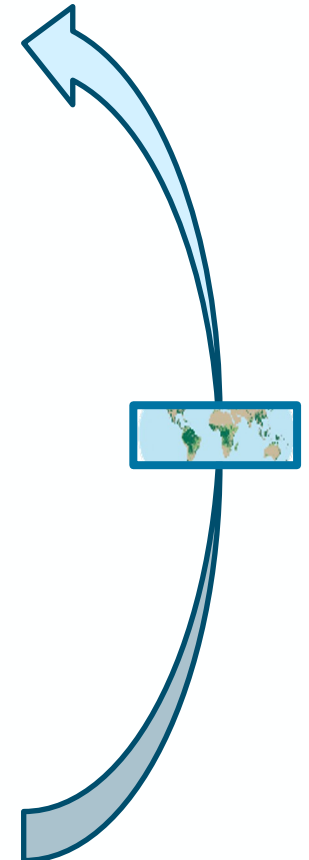
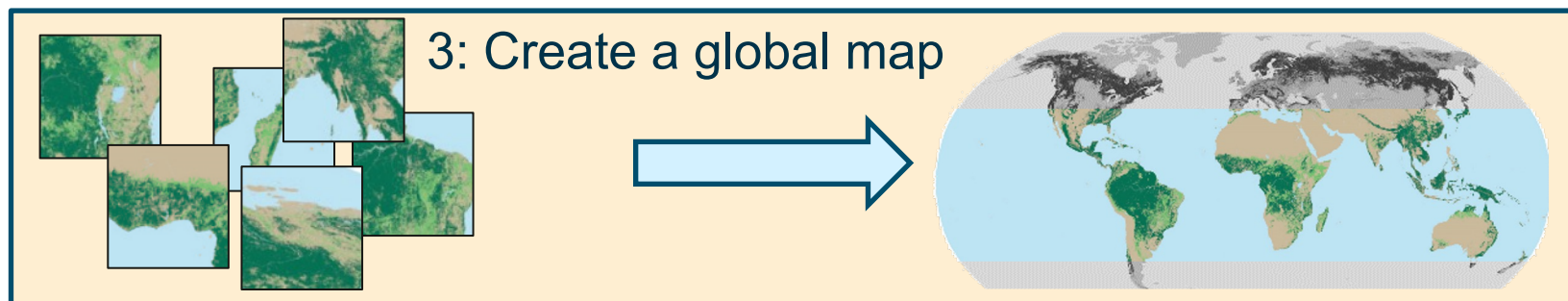
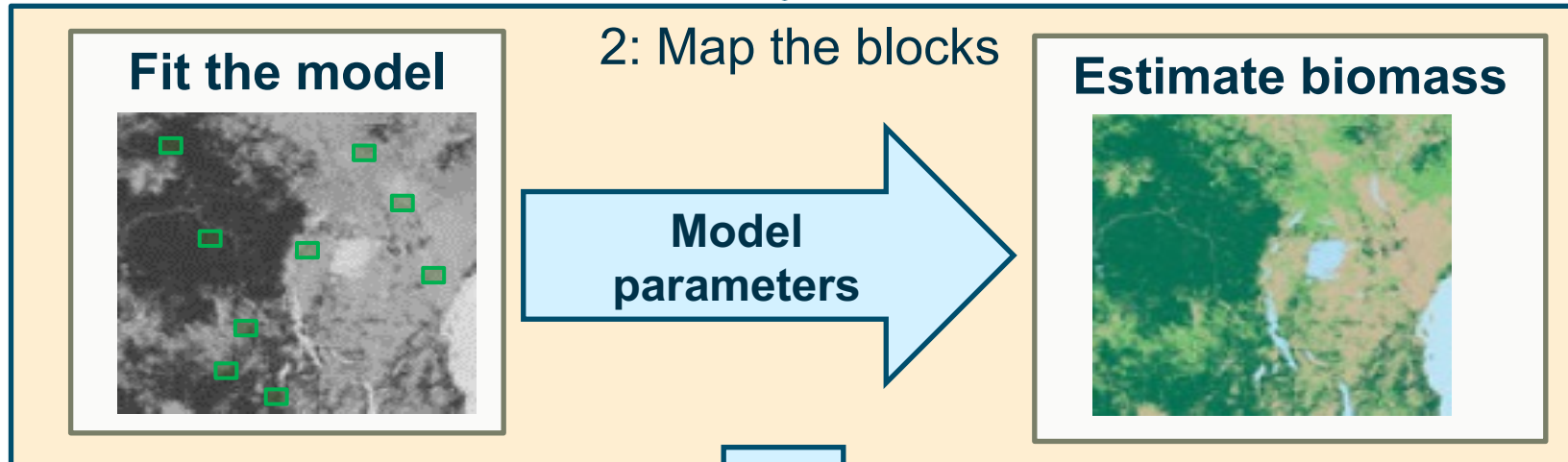
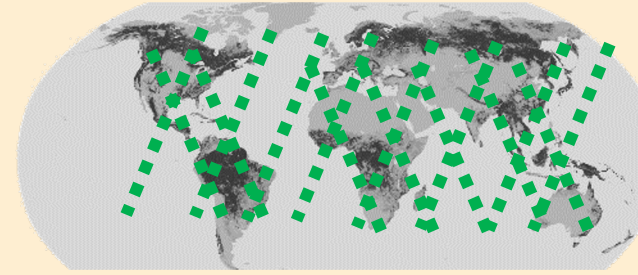
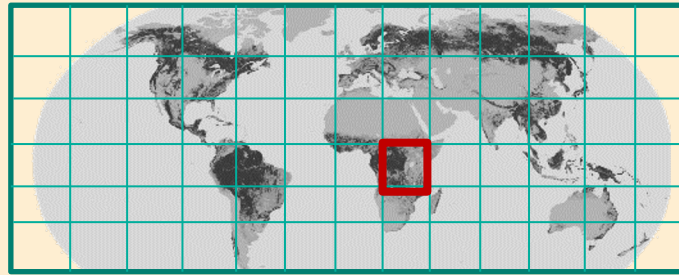
- 25 m diameter footprints
- within approx $\pm 51^\circ$ latitude

Legend:

- Light-blue:** 1 km gridded GEDI AGBD product, not the footprints,
- black:** 3°x3° blocks,
- light-red:** ICESat-2 boreal map



1: Create $3^{\circ} \times 3^{\circ}$ processing blocks and consolidate reference data



(4: iterate once to fill gaps)

Status:

- ✓ Simple, fast, flexible algorithm proposed, to be implemented within the next year
- ✓ GEDI- & ICESat-2-based biomass estimates proposed as reference

Remaining work prior to launch:

- Reference data consolidation, quality assurance, investigation of alternative reference data sources,
- Fine-tuning of the parameter variability & estimation approach
- Revision of the topographic and incidence angle effects in the model
- Fine-tuning of the training approach for subsequent global acquisitions
- Further investigation of added-value of tomography & forest height

After launch:

- Investigation of parameter estimates and their global patterns
- Implementing the new knowledge from tomographic phase data
- ...

- Villard, Ludovic, and Thuy Le Toan. "Relating P-Band SAR Intensity to Biomass for Tropical Dense Forests in Hilly Terrain: γ^0 or t^0 ?" *IEEE Journal of Selected Topics in Applied Earth Observations and Remote Sensing* 8.1 (2014): 214-223.
- Quegan, Shaun, et al. "The European Space Agency BIOMASS mission: Measuring forest above-ground biomass from space." *Remote Sensing of Environment* 227 (2019): 44-60.
- Le Toan, Thuy, et al. "The BIOMASS mission: Mapping global forest biomass to better understand the terrestrial carbon cycle." *Remote sensing of environment* 115.11 (2011): 2850-2860.
- Soja, Maciej Jerzy, Gustaf Sandberg, and Lars MH Ulander. "Regression-based retrieval of boreal forest biomass in sloping terrain using P-band SAR backscatter intensity data." *IEEE Transactions on Geoscience and Remote Sensing* 51.5 (2012): 2646-2665.
- Soja, M. J., Quegan, S., d'Alessandro, M. M., Banda, F., Scipal, K., Tebaldini, S., & Ulander, L. M. (2021). Mapping above-ground biomass in tropical forests with ground-cancelled P-band SAR and limited reference data. *Remote Sensing of Environment*, 253, 112153.
- Minh, D. H. T., Tebaldini, S., Rocca, F., Le Toan, T., Villard, L., & Dubois-Fernandez, P. C. (2014). Capabilities of BIOMASS tomography for investigating tropical forests. *IEEE Transactions on Geoscience and Remote Sensing*, 53(2), 965-975.
- d'Alessandro, M. M., Tebaldini, S., Quegan, S., Soja, M. J., Ulander, L. M., & Scipal, K. (2020). Interferometric ground cancellation for above ground biomass estimation. *IEEE Transactions on Geoscience and Remote Sensing*, 58(9), 6410-6419.